

# On $p$ -convergent operators on Banach lattices

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(joint work with Elroy Zeekoei)

## Outline of the talk:

1. Recall the definition of the concept “ $p$ -convergent operator on Banach spaces” from the paper [4] (J. Castillo & F. Sánchez, Dunford-Pettis-like Properties of Continuous Vector Function Spaces, *Revista Matemática de la Universidad Complutense de Madrid* **6**(1) (1993)) and discuss some properties of  $p$ -convergent operators.
2. Introduce the so called  $DP^*$  property of order  $p$  on Banach spaces, modelled on the concept of  $DP^*$  property studied in the paper [3] (H. Carrión, P. Galindo & M.L. Lourenço, A stronger Dunford-Pettis property, *Studia Mathematica* **3** (2008)) and relate this with the “Dunford-Pettis property of order  $p$ ” studied in [4].
3. Consider some applications to homogeneous polynomials and holomorphic functions on Banach spaces.
4. Discuss some introductory results about  $p$ -convergent operators on Banach lattices.

## 1 $p$ -Convergent operators on Banach spaces

A sequence  $(x_n)$  in a Banach space  $X$  is called weakly  $p$ -summable (for  $1 \leq p < \infty$ ) if

$$\sum_{i=1}^{\infty} |\langle x_i, x^* \rangle|^p < \infty$$

for all  $x^* \in X^*$ .

In the case when  $p = \infty$  we agree (for the purpose of this lecture) to consider the weak null sequences, i.e. sequences which are in  $c_0^{weak}(X)$ . From [4] (Castillo & Sánchez) we recall the following definition:

**Definition 1.1** *Let  $1 \leq p \leq \infty$ . We call  $T \in \mathcal{L}(X, Y)$   $p$ -convergent if it transforms weakly- $p$ -summable sequences into norm-null sequences.*

The class of  $p$ -convergent operators from  $X$  into  $Y$ , is denoted by  $\mathcal{C}_p(X, Y)$ .

$\mathcal{C}_\infty(X, Y)$  is the class of completely continuous (or Dunford-Pettis) operators and  $\mathcal{C}_1(X, Y)$  is the class of unconditionally summing operators (those transforming weakly-1-summable sequences into summable ones). Obviously,  $\mathcal{C}_q \subset \mathcal{C}_p$  when  $p < q$ . Also,  $\mathcal{C}_p$  is a closed (in the operator norm) injective operator ideal.

Our discussion will make use of several definitions from the paper [4](Castillo & Sánchez):

**Definition 1.2** *Let  $1 \leq p \leq \infty$ . A sequence  $(x_n)$  in a Banach space  $X$  is said to be weakly- $p$ -convergent with limit  $x \in X$  if the sequence  $(x_n - x)$  is weakly- $p$ -summable.*

The weakly- $\infty$ -convergent sequences are the weakly convergent sequences.

**Definition 1.3** *Let  $1 \leq p \leq \infty$ . A bounded set  $K$  in a Banach space is relatively weakly- $p$ -compact (resp. weakly- $p$ -compact) if every sequence in  $K$  has a weakly- $p$ -convergent subsequence (resp. with limit in  $K$ ).*

The relatively weakly- $\infty$ -compact sets are simply the relatively weakly compact sets.

**Remark 1.4** *It is well known, for instance from the paper [2] (S.I. Ansari, On Banach spaces  $Y$  for which  $B(C(\Omega), Y) = K(C(\Omega), Y)$ , Pac. J. Math. **169**(2) (1995)), that for  $1 < p < \infty$ , and  $1/p + 1/p^* = 1$  we have that  $\mathcal{L}(\ell_{p^*}, X) = K(\ell_{p^*}, X)$  iff all weak- $p$ -summable sequences in  $X$  are norm null, i.e. iff  $id_X \in C_p$ .*

The well-known notion of “weak Dunford-Pettis operator” motivates the following definition of “weak  $p$ -convergent operator”:

**Definition 1.5** *An operator  $T$  from a Banach space  $X$  into a Banach space  $Y$  is called weak  $p$ -convergent if  $(y_n^*(Tx_n))$  converges to 0 for every sequence  $(x_n) \in \ell_p^{weak}(X)$  and every weak null sequence  $(y_n^*)$  in  $Y^*$ .*

Clearly each  $p$ -convergent operator is weak  $p$ -convergent. Also,

**Proposition 1.6** *Let  $X, Y$  be Banach spaces and  $T \in \mathcal{L}(X, Y)$ .  $T$  is weak  $p$ -convergent if and only if for all Banach spaces  $Z$  and all weakly compact operators  $S : Y \rightarrow Z$ , the operator  $ST$  is  $p$ -convergent.*

From Proposition 1.6, taking  $S = id_Y$ , it follows that

**Corollary 1.7** *If  $Y$  is a reflexive Banach space, then each weak  $p$ -convergent operator  $T : X \rightarrow Y$  is  $p$ -convergent.*

A subset  $L$  of a Banach space  $X$  is called *limited* if  $weak^*$  null sequences in the (continuous) dual space  $X^*$  of  $X$  converge uniformly on  $L$ .

In the paper [3] (Carrión, Galindo & Lourenço) the authors define and discuss the following variant of the well-known Dunford-Pettis property:

**Definition 1.8**  *$X$  is said to have the  $DP^*P$  when all weakly compact sets in  $X$  are limited, i.e. when each sequence  $(x_n^*) \subset X^*$  which converges  $weak^*$  to 0, converges uniformly to 0 on all weakly compact sets in  $X$ .*

In the paper [7] (E. D. Zeekoei & JHF, *DP\**-properties of order  $p$  on Banach spaces, *Quaestiones Mathematicae*, to appear) the following property on Banach spaces is introduced:

**Definition 1.9** *A Banach space  $X$  is said to have the  $DP^*$ -property of order  $p$  (for  $1 \leq p \leq \infty$ ) if all weakly- $p$ -compact sets in  $X$  are limited. In short, we write  $DP^*P_p$  (for  $DP^*$ -property of order  $p$ ).*

If  $q > p$ , then  $DP^*P_q$  implies  $DP^*P_p$ ;  $DP^*P = DP^*P_\infty$  implies  $DP^*P_p$  for all  $1 \leq p < \infty$ .

**Theorem 1.10** *Let  $1 \leq p \leq \infty$ . The Banach space  $X$  has the  $DP^*P_p$  if and only if  $\langle x_n, x_n^* \rangle \rightarrow 0$  as  $n \rightarrow \infty$  for all  $\sigma(X^*, X)$  null sequences  $(x_n^*)$  in  $X^*$  and all weakly- $p$ -summable sequences  $(x_n)$  in  $X$ .*

If a weakly null sequence  $(x_n)$  in a separable Banach space  $X$  is not norm null then, by passing to subsequences if necessary, there is a weak\* null sequence  $(x_n^*)$  in the metrisable topological space  $(B_{X^*}, \sigma(X^*, X))$  such that  $|\langle x_n, x_n^* \rangle| \not\rightarrow 0$ . Since weakly- $p$ -summable sequences in  $X$  are weakly null, it therefore follows that if in a separable Banach space  $X$  there exists a weakly- $p$ -summable sequence  $(x_n)$  which is not norm null, then by Theorem 1.10 the space  $X$  does not have the  $DP^*P_p$ .

Thus, by Remark 1.4, if a separable Banach space  $X$  has the  $DP^*P_p$  (for  $1 < p < \infty$ ), then  $K(\ell_{p^*}, X) = \mathcal{L}(\ell_{p^*}, X)$ .

In the paper [4](Castillo & Sánchez), a Banach space  $X$  is said to have the  $DPP_p$  if the inclusion  $\mathcal{W}(X, Y) \subseteq \mathcal{C}_p(X, Y)$  holds for all Banach spaces  $Y$ . Here  $\mathcal{W}(X, Y)$  denotes the family of all weakly compact operators from  $X$  to  $Y$ . It is also proved in the paper [4] that a Banach space  $X$  has the  $DPP_p$  if and only if  $\langle x_n, x_n^* \rangle \rightarrow 0$  as  $n \rightarrow \infty$  for all weakly null sequences  $(x_n^*)$  in  $X^*$  and all weakly- $p$ -summable sequences  $(x_n)$  in  $X$ . Again, If  $q > p$ , then  $DPP_q$  implies  $DPP_p$ ;  $DPP = DPP_\infty$  implies  $DPP_p$  for all  $1 \leq p < \infty$ .

Clearly, the  $DP^*P_p$  always implies the  $DPP_p$  and when a Banach space is a Grothendieck space (hence also if it is a reflexive space) it has the  $DP^*P_p$  iff it has the  $DPP_p$ .

For instance, if  $1 < r < \infty$ , then  $\ell_r$  has the  $DPP_p$  for all  $p < r^*$ . Being reflexive, it has the  $DP^*P_p$  for all  $p < r^*$ , but not the  $DPP$  (hence also not the  $DP^*P$ ).

The (non-reflexive)  $C(K)$ -spaces and  $L_1$ -spaces have the  $DPP$  and therefore they also have the  $DPP_p$  for all  $p$ . However, the following example shows that the  $DPP_p$  does not always imply the  $DP^*P_p$ :

**Example 1.11** *Let  $(\Omega, \Sigma, \mu)$  be some probability space. The space  $L_1(\mu)$  has the  $DPP$  (by the Dunford-Pettis Theorem) and thus also has the  $DPP_p$  for all  $1 \leq p \leq \infty$ . By Remark 1.4 every weakly-2-summable sequence in  $L_1(\mu)$  would be a norm null sequence if and only if each bounded linear operator from the sequence space  $\ell_2$  to  $L_1(\mu)$  were compact. This is impossible, since for instance we know that  $\ell_2$  embeds isometrically in  $L_1(\mu)$ . Thus, there has to be a weakly-2-summable sequence which is not norm null. Since  $L_1(\mu)$  is separable, this implies that  $L_1(\mu)$  does not have the  $DP^*P_2$ .*

The previous example serves to separate the class of spaces with the  $DPP_p$  from the class of spaces with the  $DP^*P_p$ . On the other hand, the following example shows the existence of a space with the  $DP^*P_p$  which does not have the  $DP^*P_q$  (for some  $q > p$ ):

**Example 1.12** *Let  $1 < r < 2$  and consider any  $1 < p < r$ . Since  $p < r^*$ , it follows that  $\ell_r$  has the  $DP^*P_p$ . Since the continuous embedding  $\ell_p \hookrightarrow \ell_r$  is not compact and since it follows again by Remark 1.4 that every weakly- $p^*$ -summable sequence in  $\ell_r$  would be a norm null sequence if and only if each bounded linear operator from  $\ell_p$  to  $\ell_r$  would be compact, the same argument as in Example 1.11 yields that the space  $\ell_r$  does not have the  $DP^*P_q$  where  $q = p^* > r > p$ .*

**Proposition 1.13** *Let  $1 \leq p < \infty$ . The Banach space  $X$  has the  $DP^*P_p$  if and only if every operator  $T \in \mathcal{L}(X, c_0)$  is  $p$ -convergent, i.e. if and only if  $\mathcal{L}(X, c_0) = \mathcal{C}_p(X, c_0)$ .*

Following is a result that relates the properties  $DPP_p$  and  $DP^*P_p$ :

**Theorem 1.14** *The Banach space  $X$  has the  $DP^*P_p$  if and only if it has the  $DPP_p$  and every quotient mapping  $q : X \rightarrow c_0$  is  $p$ -convergent.*

## 2 Applications to homogeneous polynomials and holomorphic functions on Banach spaces.

**Definition 2.1** *Let  $1 \leq p < \infty$ . A function  $f$  from a normed space  $X$  into a normed space  $Y$  is called  $p$ -convergent if it maps weakly- $p$ -convergent sequences onto norm convergent sequences.*

In case of  $p = \infty$  in the above definition,  $f$  is a completely continuous function. The focus is on the  $p$ -convergent property for  $n$ -homogeneous polynomials. The case  $p = \infty$  was covered in the paper [3](Carrión, Galindo & Lourenço).

Recall that if  $E, F$  are vector spaces over  $\mathbb{C}$  and  $\Delta_n : E \rightarrow E^n$  is the diagonal mapping defined by  $\Delta_n x = (x, x, \dots, x)$ , then a mapping  $P : E \rightarrow F$  is called an *n-homogeneous polynomial* from  $E$  to  $F$  if there exists a  $n$ -linear  $L \in \mathcal{L}_a({}^n E; F)$  from  $E^n \rightarrow F$  such that  $P = L \circ \Delta_n$ ; i.e.  $P(x) = L(x, x, \dots, x)$  for all  $x \in E$ . When considering normed spaces  $E$  and  $F$ , we agree to use  $\mathcal{P}({}^n E; F)$ , for the space of continuous  $n$ -homogeneous polynomials from  $E$  into  $F$ .

**Proposition 2.2** *Let  $X, Y$  be Banach spaces and assume  $Y$  contains an isomorphic copy of  $c_0$ . If every  $T \in \mathcal{L}(X, Y)$  is  $p$ -convergent, then  $X$  has the  $DP^*P_p$ . In this case, every polynomial  $P \in \mathcal{P}({}^n X, Y)$  is a  $p$ -convergent function for all  $n \in \mathbb{N}$ .*

Using some (adjusted) results from the paper [3] (Carrión, Galindo & Lourenço) one verifies that:

**Proposition 2.3** *Let  $X$  be a Banach space with the  $DP^*P_p$  and let  $(P_n) \subset \mathcal{P}({}^kX, \mathbb{C})$  such that  $P_n \rightarrow P \in \mathcal{P}({}^kX, \mathbb{C})$  pointwise. Then  $P_n \rightarrow P$  uniformly on weakly- $p$ -compact subsets of  $X$  and for each weakly- $p$ -summable sequence  $(x_n)$  we have  $P_n(x_n) \xrightarrow[\infty]{n} 0$ .*

Denote the class of all  $p$ -convergent  $k$ -homogeneous polynomials from  $X$  into  $Y$  by  $\mathbb{P}_{pc}({}^kX, Y)$ .

**Proposition 2.4** *The following assertions are equivalent:*

- (i)  $X$  has the  $DP^*P_p$ .
- (ii) Every operator  $T : X \rightarrow c_0$  is  $p$ -convergent.
- (iii) For all integers  $k$ , each polynomial  $P \in \mathcal{P}({}^kX, c_0)$  is  $p$ -convergent.
- (iv) For some integer  $k$ , each polynomial  $P \in \mathcal{P}({}^kX, c_0)$  is  $p$ -convergent.

Recall the definition of holomorphic function:

**Definition 2.5** *Let  $U$  be an open subset of  $X$ . A mapping  $f : U \rightarrow F$  is said to be holomorphic (or analytic) if for each  $a \in U$  there exists a ball  $B(a, r) \subset U$  and a sequence of polynomials  $P_m \in \mathcal{P}({}^m X; Y)$  such that*

$$f(x) = \sum_{m=0}^{\infty} P_m(x - a),$$

*uniformly on  $B(a, r)$ . The vector space of all holomorphic mappings from  $U$  into  $Y$  is denoted by  $\mathcal{H}(U; F)$ .*

If  $f \in \mathcal{H}(X, Y)$  is  $p$ -convergent, then it is bounded on all weakly- $p$ -compact subsets of  $X$ . Recall that Banach spaces whose limited sets are relatively compact are called *Gelfand-Philips spaces*.

**Proposition 2.6** *If  $X$  has the  $DP^*P_p$  and  $Y$  is a Gelfand-Phillips space, then every  $P \in \mathcal{P}({}^n X, Y)$  is  $p$ -convergent. Furthermore, each  $f \in \mathcal{H}(X, Y)$  which is bounded on weakly- $p$ -compact sets, is weakly continuous on them.*

Recall that a subset  $L$  of a Banach space  $X$  is called *bounding* if every  $f \in \mathcal{H}(X, \mathbb{C})$  is bounded on  $L$ . Bounding sets in a Banach space  $X$  are limited (a result from [5] (B. Josefson, A Banach space containing non-trivial limited sets but no non-trivial bounding sets, *Israel J. Math* (1990))). So, if all weakly- $p$ -compact subsets of  $X$  are bounding, then  $X$  has the  $DP^*P_p$  and since  $\mathbb{C}$  is a Gelfand-Phillips space, it follows from Proposition 2.6 that in this case each  $f \in \mathcal{H}(X, \mathbb{C})$  has to be  $p$ -convergent. Moreover, we have:

**Proposition 2.7** *All weakly- $p$ -compact subsets of a Banach space  $X$  are bounding iff each  $f \in \mathcal{H}(X, \mathbb{C})$  is  $p$ -convergent.*

The following proposition describes yet another characterisation of Banach spaces with the  $DP^*P_p$ . The proof of the case  $p = \infty$  is considered in [3] (Carrión, Galindo & Lourenço). The same techniques apply in our setting of the  $DP^*P_p$ , where  $1 \leq p < \infty$ .

**Proposition 2.8** *A Banach space  $X$  has the  $DP^*P_p$  if, and only if, every symmetric bilinear separately compact map  $X \times X \rightarrow c_0$  is  $p$ -convergent.*

### 3 $p$ -Convergent operators on Banach lattices

**Lemma 3.1** *Let  $\Omega$  be a compact Hausdorff topological space and let  $1 \leq p < \infty$ . For a weak  $p$ -summable sequence  $(f_j)$  in  $C(\Omega)$  we have:*

- (1)  $(f_j(t))_j \in \ell_p$  for all  $t \in \Omega$ .
- (2)  $\sup_{t \in \Omega} \|(f_j(t))_j\|_{\ell_p} < \infty$ .

Using the Riesz Representation Theorem and the above Lemma 3.1, one verifies that:

**Lemma 3.2** *Let  $1 \leq p < \infty$ . If  $(f_j)$  is a weak  $p$ -summable sequence in  $C(\Omega)$  then so is the sequence  $(|f_j|)$ .*

For any  $AM$ -space with unit, being lattice isometric to some  $C(\Omega)$ -space, it follows from the above lemmas that:

**Proposition 3.3** *Let  $1 \leq p < \infty$ . Let  $E$  be an  $AM$ -space with unit. Then  $(|x_i|) \in \ell_p^w(E)$  for each  $(x_i) \in \ell_p^w(E)$ .*

**Definition 3.4** *We say a Banach lattice  $E$  is weak  $p$ -consistent (for  $1 \leq p < \infty$ ) if it follows from  $(x_i) \in \ell_p^w(E)$  that  $(|x_i|) \in \ell_p^w(E)$ .*

**Proposition 3.5** *Let  $E$  be a weak  $p$ -consistent Banach lattice and  $F$  any Banach lattice. If  $S, T : E \rightarrow F$  are positive operators satisfying  $0 \leq S \leq T$  and  $T$  is  $p$ -convergent, then likewise  $S$  is  $p$ -convergent.*

When the target space is an  $AL$ -space, then we have the following easy characterisation of a  $p$ -convergent operator:

**Proposition 3.6** *Let  $E$  be a Banach lattice and let  $F$  be an AL-space. Then the following are equivalent:*

- (1)  $T$  is  $p$ -convergent.
- (2)  $|Tx_n| \rightarrow 0$  as  $n \rightarrow \infty$  weakly in  $F$  for all  $(x_i) \in \ell_p^w(E)$ .

Following are some introductory results in a study which is currently mostly motivated by the paper [6] (M. Moussa & K. Bouras, About positive weak Dunford–Pettis operators on Banach lattices, *Journal of Mathematical Analysis and Applications*, **381** (2011)), although a series of papers by W. Wnuk on this topic will certainly also play important role in this study.

**Definition 3.7** *Let  $E$  be a Banach lattice and  $Y$  be a Banach space. We call an operator  $T : E \rightarrow Y$  almost  $p$ -convergent if for every sequence  $(x_n) \subset E^+$  such that  $(x_n) \in \ell_p^{weak}(E)$ , we have  $\|Tx_n\| \xrightarrow[\infty]{n} 0$ .*

**Remarks 3.8** (a) *By definition, each  $p$ -convergent operator is almost  $p$ -convergent.*

(b) *Weak  $p$ -convergent operators differ from almost  $p$ -convergent operators:*

*For instance,  $c_0$  has  $DPP_p$  (since  $c_0$  has  $DPP$ ), thus  $id_{c_0}$  is weak  $p$ -convergent (by Proposition 1.6). However, since  $(e_n) \in \ell_p^{weak}(c_0)$ ,  $(e_n)$  is a disjoint sequence in  $c_0^+$  and  $\|e_n\| \not\rightarrow 0$ , it follows that  $id_{c_0}$  is not almost  $p$ -convergent.*

**Definition 3.9** *A Banach space  $E$  is said to have the positive Schur property of order  $p$  (briefly,  $E$  has  $SP_p^+$ ) if each disjoint sequence  $(x_n) \in \ell_p^{weak}(E)$  with positive terms, is norm convergent to 0.*

**Theorem 3.10** *Let  $E$  be a Banach lattice. Then, the following statements are equivalent:*

- (1) *Each positive operator from  $E$  to  $\ell_\infty$  is almost  $p$ -convergent.*
- (2)  *$E$  has  $SP_p^+$ .*

**Theorem 3.11** *Let  $E$  and  $F$  be two Banach lattices such that  $F$  is a dual Banach lattice. Then the following assertions are equivalent:*

- (1) *Each positive weak  $p$ -convergent operator  $T : E \rightarrow F$  is almost  $p$ -convergent.*
- (2) *One of the following assertions is valid:*
  - (a)  *$E$  has  $SP_p^+$ .*
  - (b)  *$F$  is a KB-space.*

Replacing the condition that  $F$  be a dual Banach lattice in Theorem 3.11 with the condition that  $F$  is Dedekind  $\sigma$ -complete, we obtain the following result:

**Theorem 3.12** *Let  $E$  and  $F$  be two Banach lattices with  $F$  Dedekind  $\sigma$ -complete. If each positive weak  $p$ -convergent operator  $T : E \rightarrow F$  is almost  $p$ -convergent, then one of the following assertions is valid:*

- (1)  $E$  has  $SP_p^+$ .
- (2)  $F$  has an order continuous norm.

## References

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