

Domination by ergodic elements in ordered Banach algebras

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Outline of talk

- Overview of problems we investigated

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- Provide conditions under which a positive element in an ordered Banach algebra dominated by a positive ergodic element will be ergodic.

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- Provide conditions under which a positive element in an ordered Banach algebra dominated by a positive ergodic element will be ergodic.
- Establish an ergodic theorem, providing necessary and sufficient conditions for an element to be ergodic.

Some references

First literature on ordered Banach algebras:

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- H. Raubenheimer and S. Rode: Cones in Banach algebras, *Indag. Math.* **7** (1996), 489 – 502.
- S. Mouton (née Rode) and H. Raubenheimer: More spectral theory in ordered Banach algebras, *Positivity* **1** (1997), 305 – 317.

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- S. Mouton and K. Muzundu: Commutatively ordered Banach algebras, *Quaest. Math.* **36** (2013), 1 – 29.

Some of the topics investigated in ordered Banach algebras:

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- S. Mouton: On spectral continuity of positive elements, *Studia Math.* **174** (2006), 75 – 84.
- S. Mouton: A condition for spectral continuity of positive elements, *Proc. Amer. Math. Soc.* **137** (2009), 1777 – 1782.

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- S. Mouton: A condition for spectral continuity of positive elements, *Proc. Amer. Math. Soc.* **137** (2009), 1777 – 1782.
- G. Braatvedt, R. Brits and H. Raubenheimer: Gelfand-Hille type theorems in ordered Banach algebras, *Positivity* **13** (2009), 39 – 50.

**Some of the topics investigated in ordered Banach algebras
— domination:**

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- H. du T. Mouton and S. Mouton: Domination properties in ordered Banach algebras, *Studia Math.* **149** (2002), 63 – 73.

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- S. Mouton and K. Muzundu: Domination by ergodic elements in ordered Banach algebras, to appear in *Positivity* (DOI 10.1007/s11117-013-0234-8).

Domination by ergodic elements

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- F. Rübiger and M. P. H. Wolff: Spectral and asymptotic properties of dominated operators, *J. Aust. Math. Soc. (Ser. A)* **63** (1997), 16 – 31.

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- V. Caselles: On the peripheral spectrum of positive operators, *Israel J. Math.* **58** (1987), 144 – 160.
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In a semisimple Banach algebra, $\alpha \in \sigma(a)$ is a Riesz point relative to an inessential ideal I if and only if α is a pole of the resolvent of a and $p(a, \alpha) \in I$.

An element $a \in A$ is *ergodic* if the sequence $\left(\sum_{k=0}^{n-1} \frac{a^k}{n} \right)$ converges.

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$\mathcal{L}^r(E)$: the Banach algebra of all regular operators on a Dedekind complete Banach lattice E with the r -norm

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$\mathcal{K}^r(E)$: the closure in $\mathcal{L}^r(E)$ of the ideal of finite rank operators on E

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An algebra cone C of A is *normal* if there exists a constant $\alpha > 0$ with the following property:

if $0 \leq x \leq y$ relative to C , then $\|x\| \leq \alpha \|y\|$.

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If (A, C) is an OBA, F a closed ideal in A and $\pi : A \rightarrow A/F$ the canonical homomorphism, then $(A/F, \pi C)$ is an OBA.

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Let E be a Dedekind complete Banach lattice,

$$C = \{x \in E : x \geq 0\} \text{ and } K = \{T \in \mathcal{L}(E) : TC \subseteq C\}.$$

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Last fact proved by J. Martinez and J. M. Mazón in 1991.

Theorem (S. Mouton, H. Raubenheimer, 1997)

Let (A, C) be an OBA with C closed and the spectral radius in (A, C) monotone, and let I be a closed inessential ideal in A such that the spectral radius in $(A/I, \pi C)$ is monotone.

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- 2 there exists a neighbourhood U of $\sigma(a) \setminus K$ such that $f(\lambda) = 0$ for all $\lambda \in U$, where K is a subset of $\sigma(a)$ consisting of n poles of the resolvent of a , for some $n \geq 0$.

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Let A be a Banach algebra and $a \in A$. Suppose that f is a complex valued function analytic on a neighbourhood of $\sigma(a)$. If α is a pole of order k of the resolvent of a ,

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Let A be a Banach algebra and $a \in A$. Suppose that f is a complex valued function analytic on a neighbourhood of $\sigma(a)$. If α is a pole of order k of the resolvent of a , then

$f(a) = f(a)(1 - p) + \sum_{n=0}^{k-1} \frac{(a-\alpha 1)^n}{n!} f^{(n)}(\alpha)p$, where $p = p(a, \alpha)$.

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Let A be a Banach algebra and $a \in A$. Let (f_n) be a sequence of complex valued functions analytic on a neighbourhood of $\sigma(a)$. Suppose that $\alpha \neq 0$ is a pole of order k of the resolvent of a such that $f_n(\alpha) \rightarrow 1$ and $f_n^{(j)}(\alpha) \rightarrow 0$ ($j = 1, 2, \dots, k - 1$) as $n \rightarrow \infty$. If $(\alpha 1 - a)f_n(a) \rightarrow 0$ as $n \rightarrow \infty$, then $f_n(a) \rightarrow p(a, \alpha)$ as $n \rightarrow \infty$.

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If α is a **simple pole**:

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Corollary (2)

Let A be a Banach algebra and $a \in A$. Let (f_n) be a sequence of complex valued functions analytic on a neighbourhood of $\sigma(a)$. Suppose that $\alpha \neq 0$ is a simple pole of the resolvent of a such that $f_n(\alpha) \rightarrow 1$ as $n \rightarrow \infty$. If $(\alpha 1 - a)f_n(a) \rightarrow 0$ as $n \rightarrow \infty$, then $f_n(a) \rightarrow p(a, \alpha)$ as $n \rightarrow \infty$.

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The conditions **force** α to be a simple pole:

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The conditions **force** α to be a simple pole:

Corollary (3)

Let A be a Banach algebra and $a \in A$. Let (f_n) be a sequence of complex valued functions analytic on a neighbourhood of $\sigma(a)$. Suppose that $\alpha \neq 0$ is a pole of order at most $k \geq 1$ of the resolvent of a . If $f_n(\alpha) \rightarrow 1$ and $(\alpha 1 - a)f_n(a) \rightarrow 0$ as $n \rightarrow \infty$, then α is a simple pole of the resolvent of a .

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Corollary (2)

Let A be a Banach algebra and $a \in A$. Let (f_n) be a sequence of complex valued functions analytic on a neighbourhood of $\sigma(a)$. Suppose that $\alpha \neq 0$ is a simple pole of the resolvent of a such that $f_n(\alpha) \rightarrow 1$ as $n \rightarrow \infty$. If $(\alpha 1 - a)f_n(a) \rightarrow 0$ as $n \rightarrow \infty$, then $f_n(a) \rightarrow p(a, \alpha)$ as $n \rightarrow \infty$.

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$$\alpha = 1; \quad f_n(\lambda) = \sum_{k=0}^{n-1} \frac{\lambda^k}{n}$$

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Theorem (The ergodic theorem)

Let A be a Banach algebra, $a \in A$, $f_n(\lambda) = \sum_{k=0}^{n-1} \frac{\lambda^k}{n}$ ($\lambda \in \mathbb{C}$, $n \in \mathbb{N}$) and $1 \in \text{iso } \sigma(a)$. Then a is ergodic and $f_n(a) \rightarrow p(a, 1)$ if and only if 1 is a (simple) pole of the resolvent of a and $(1 - a)f_n(a) \rightarrow 0$ as $n \rightarrow \infty$.

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Proved for the bounded linear operators on a complex Banach space by N. Dunford in 1943, using partly operator theoretic methods.

Domination by ergodic elements

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Let (A, C) be a semisimple OBA with C closed and normal and let I be a closed inessential ideal of A such that the spectral radius in $(A/I, \pi C)$ is monotone.

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- By the ergodic theorem a is ergodic.

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Corollary

Let E be a Dedekind complete Banach lattice and let $S, T \in \mathcal{L}^r(E)$ such that $0 \leq S \leq T$. If T is uniformly ergodic with ergodic projection of finite rank, then S is uniformly ergodic.

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Proved for the bounded linear operators on a Banach lattice (under a weaker form of domination) by F. Rübiger and M. P. H. Wolff in 1997, using operator theoretic methods.

THANK YOU