

Local ~~adelic~~ adelic methods seminar. 2009/01/05. (2 x 45 minutes)  
 (I will need more).

GL<sub>2</sub>. Reference: Deligne's "Formes modulaires et repr. de GL(2)"  
 in Antwerp II, S.L.N.M. 349; and: p.18-19 of  
Modular forms, classically, and GL<sub>2</sub>(A). Taylor's long ICM text.  
 just 21 lines, but there is a lot to say...

Aim: to understand the 19 lines by Taylor.

§1. The Harish-Chandra isomorphism for gl<sub>2</sub>.

$$gl_2 = Lie(GL_2(\mathbb{C})) = M_2(\mathbb{C}) = \mathbb{C} \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \oplus \mathbb{C} \cdot \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \oplus \mathbb{C} \cdot \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \oplus \mathbb{C} \cdot \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

c                      h                      a<sub>+</sub>                      a<sub>-</sub>

$$U(gl_2) = T(gl_2) / \langle a \circ b - b \circ a - [a, b] \rangle_{(a, b \in gl_2)}$$

H                      X                      Y

Isom. of categories: "gl<sub>2</sub>-mod"  $\xrightarrow{\sim}$  U(gl<sub>2</sub>)-mod

So:  $z_2 := \text{center}(U(gl_2)) = \text{End}(\text{id}_{gl_2\text{-mod}})$

H-C isom:  $\Gamma_{HC}: z_2 \xrightarrow{\sim} \mathbb{C}[x_1, x_2]^{S_2}$ , charact. as follows.  
 $= \mathbb{C}[x_1+x_2, x_1x_2]$

$$\begin{array}{ccc} \text{Hom}_{\mathbb{C}\text{-alg}}(\mathbb{C}[x_1, x_2]^{S_2}, \mathbb{C}) & = & S_2 \backslash \mathbb{C}^2 \\ \uparrow & & \uparrow \text{quot.} \\ \text{Hom}_{\mathbb{C}\text{-alg}}(\mathbb{C}[x_1, x_2], \mathbb{C}) & = & \mathbb{C}^2 \\ f \longmapsto & (f(x_1), f(x_2)) & \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \right\} \left\{ \begin{pmatrix} * & 0 \\ 0 & * \end{pmatrix} \right\} \end{array}$$

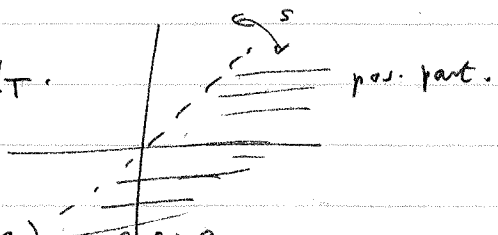
$\rho := \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ .

"Highest weight representations":  $GL_2 \supset B \supset T = \mathbb{G}_m^2$ ,  $W = N_T/T = S_2$   
 $T \curvearrowright gl_2$ , ~~then~~  $t \cdot a_+ = t_1 t_2^{-1} a_+$ , so  $(1, -1)$  is the positive root.

$X(T) = \text{Hom}(T, \mathbb{G}_m) = \mathbb{Z}^2$ ; positive part:  $\{a \in \mathbb{Z}^2 \mid a_1 \geq a_2\}$

Theory:  $\forall$  positive  $a \exists!$  irred. alg. repr  $\rho_a$  of  $GL_2, \mathbb{C}$  s.t.  $a$  is the highest weight of  $\rho_a|_T$ .

Explicitly:  $\rho_a = \text{Sym}^{a_1-a_2}(\mathbb{C}^2) \otimes \det^{a_2}$



$$\begin{aligned} \text{char}(\rho_a|_T): t &\mapsto (t_1^{a_1-a_2} + t_1^{a_1-a_2-1} t_2 + \dots + t_2^{a_1-a_2}) \cdot t_1^{a_2} t_2^{a_2} = \\ &= t_1^{a_1} t_2^{a_2} + \dots \end{aligned}$$

Note:  $\text{Sym}^d(\mathbb{C}^2) = \text{Sym}^d(\mathbb{C} \cdot x \oplus \mathbb{C} \cdot y) = \mathbb{C}[x, y]_d$ .

Now the characterisation:  $\forall$  positive  $a$ :  $z_2 \xrightarrow{\chi_{HC}} \mathbb{C}[x_1, x_2]^{S_2} \xrightarrow{f} \mathbb{C}$   
 $\searrow \rho_a \quad \downarrow \theta_{H(\rho_a)}$

$$H(\rho_a) := \left\{ a_1 + \frac{1}{2}, a_2 - \frac{1}{2} \right\}$$

Note:  $(a_1 + \frac{1}{2}, a_2 - \frac{1}{2}) = a + \frac{1}{2}(1, -1)$ , sum of positive roots.

This condition characterises  $\chi_{HC}$  bec.  $\{ a + \frac{1}{2}(1, -1) \mid a \text{ positive in } \mathbb{Z}^2 \}$  is Zariski dense in  $\mathbb{C}^2$ . (hence every autom. of  $\mathbb{C}[x_1, x_2]^{\mathbb{Z}^2} \dots$ ).

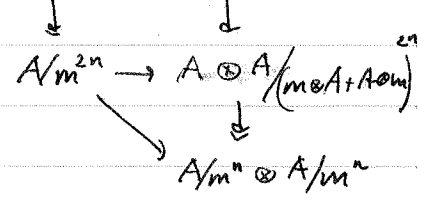
But this doesn't tell us what  $\chi_{HC}$  or  $z_2$  is.

Of course we have  $c = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  in  $\mathfrak{gl}_2 \subset \mathfrak{U}(\mathfrak{gl}_2)$ , As  $\text{Lie}(\text{center } \text{GL}_2(\mathbb{C})) = \mathbb{C} \cdot c$ ,  $c \in z_2$ . We need 1 more ~~center~~ element in  $z_2$ .

Some generalities. Let  $G$  be a complex affine alg. group,  $A := \mathcal{O}(G)$ ,  $m := \text{max. id. of } e \in G(\mathbb{C})$ . See Serre's book on Lie algebras & Lie groups.

Then  $U(\text{Lie}(G)) = \{ \text{left-inv. diff. op's on } G \}$ ,  $(\text{Lie}(G) = \text{left-inv. vect. fields})$   
 = "point-distr. at e":  $\varinjlim (A/m^n)^\vee$ ,

with multipl. coming from  $\rho: G \times G \xrightarrow{\mu} G$ ,  $\rho^*: A \rightarrow A \otimes A$   
 (giving  $(A/m^n)^\vee \otimes (A/m^n)^\vee \rightarrow (A/m^{2n})^\vee$ .)



So  $U(\text{Lie } G)$  is filtered:  $\text{Fil}_0 \subset \text{Fil}_1 \subset \dots$ ,  
 with  $\text{gr}_i = \text{Sym}^i(\text{Lie } G)$ .

By construction:  $\text{center}(U(\text{Lie}(G))) = U(\text{Lie}(G))^{\text{Lie } G} = U(\text{Lie}(G))^{\mathbb{G}^0}$ .

For  $G = \text{GL}_n = \text{GL}(V)$ :  $\text{Lie}(G) = \text{End}(V) = V^\vee \otimes V = (V^\vee \otimes V)^\vee = \text{End}(V)^\vee$ ,  
 $\text{and } \text{Sym}(\text{End}(V)^\vee)^{\text{GL}_n} = \{ \text{conj. inv. polynomials on } \text{End}(V) \}$   
 $= \mathbb{C}[\text{coeff. of char. pol.}]$ , generators in degrees 1 (trace), 2,  $\dots$ ,  $n$  (determinant).

$\downarrow$   
 $\text{id}_V = \begin{cases} c & \text{for } n=2 \end{cases}$ .

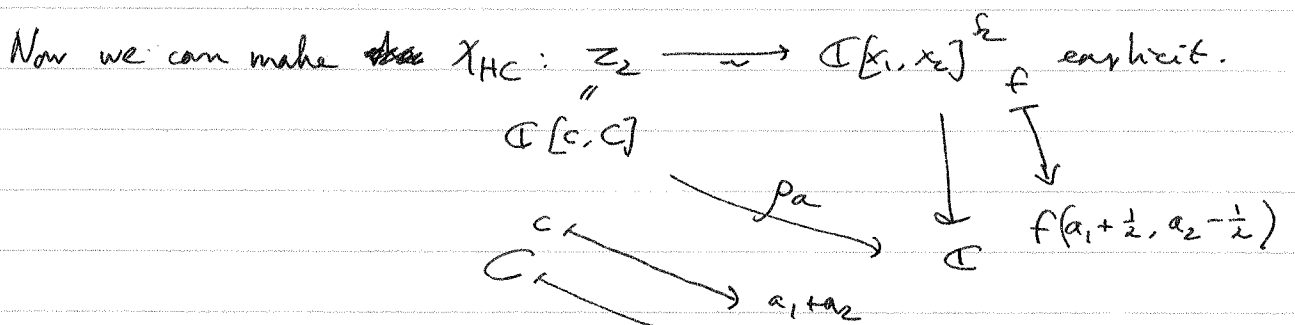
So, for  $n=2$ , there is an element  $C$  in  $\mathfrak{sl}_2$  in degree  $\leq 2$ , s.t.  $c, C$  generate  $\mathfrak{z}_2$ .  
 Casimir. from  $\mathfrak{sl}_2$ .

Recipe for Casimir operator: take the Killing form  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{sl}_2$  given by  $\langle a, b \rangle = \text{tr}(\text{ad } a \text{ ad } b)$  (here  $\text{ad } a : \mathfrak{sl}_2 \rightarrow \mathfrak{sl}_2 \dots$ ); take any basis  $(e_i)_{i \in I}$  of  $\mathfrak{sl}_2$ , then  $C = \sum_{i \in I} e_i^\vee \otimes e_i$ , where  $(e_i^\vee)_{i \in I}$  is the dual basis w.r.t. the Killing form. We use  $\mathfrak{sl}_2 = \mathbb{C} \cdot h \oplus \mathbb{C} \cdot a_+ \oplus \mathbb{C} \cdot a_-$ .  
 We have:  $[h, a_+] = 2a_+$ ,  $[h, a_-] = -2a_-$ ,  $[a_+, a_-] = h$ .  
 Matrix of  $\langle \cdot, \cdot \rangle : \begin{pmatrix} 8 & 0 & 0 \\ 0 & 0 & 4 \\ 0 & 4 & 0 \end{pmatrix}$ , so  $h^\vee = \frac{1}{8}h$ ,  $a_+^\vee = \frac{1}{4}a_-$ ,  $a_-^\vee = \frac{1}{4}a_+$ .

So the Casimir operator is:  $\frac{1}{4} \cdot \underbrace{\left( \frac{1}{2} h^2 + a_+ a_- + a_- a_+ \right)}_C$ .

We have:  $\mathfrak{z}_2 = \mathbb{C}[C]$ .

For  $a \in \mathbb{Z}^2$  positive,  $V_a = \mathbb{C}[x, y]_{a_1, a_2} \otimes (\mathbb{C} \cdot x^2 y)^{\otimes a_2}$ ,  
 $\mathfrak{sl}_2$  acts on  $\mathbb{C}[x, y]$  as:  $c : x\partial_x + y\partial_y$ ,  $h : x\partial_x - y\partial_y$ ,  $a_+ : x\partial_y$ ,  $a_- : y\partial_x$   
 $\mathbb{C} \cdot x^2 y$  as:  $c : 2$ ,  $h : 0$ ,  $a_+ : 0$ ,  $a_- : 0$   
 $c$  acts on  $V_a$  as:  $a_1 + a_2$   
 $C$  acts on  $V_a$  as:  $\left( \frac{1}{2}(x\partial_x + y\partial_y)(x\partial_x + y\partial_y + 2) \right) \otimes 1 = \frac{1}{2} \cdot (a_1 + a_2)(a_1 + a_2 + 2)$   
 $= \frac{1}{2}(a_1 - a_2)^2 + a_1 - a_2$ .



So:  $\chi_{HC} : \begin{cases} \mathbb{C} \mapsto x_1 + x_2 \\ \mathbb{C} \mapsto \frac{1}{2}(x_1 - x_2 - 1)(x_1 - x_2 + 1) = \frac{1}{2}((x_1 - x_2)^2 - 1) \end{cases}$

§2. Very classical picture. For  $\Gamma \subset SL_2(\mathbb{Z})$  a congr. subgroup (i.e., containing some  $\Gamma(n) = \ker(SL_2(\mathbb{Z}) \rightarrow SL_2(\mathbb{Z}/n\mathbb{Z}))$ ,  $n \in \mathbb{Z}_{>1}$ , and  $k \in \mathbb{Z}$ , one defines:

$$S_k(\Gamma) := \left. \begin{array}{l} 1. f \text{ holomorphic} \\ 2. \forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma, \forall \tau \in \mathbb{H}: f\left(\frac{a\tau+b}{c\tau+d}\right) = (c\tau+d)^k \cdot f(\tau) \\ 3. f \text{ vanishes at the cusps: } \forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}): \\ \quad (\tau \mapsto (c\tau+d)^{-k} \cdot f\left(\frac{a\tau+b}{c\tau+d}\right)) \rightarrow 0 \text{ as } \text{Im}\tau \rightarrow \infty. \end{array} \right\}$$

What does the condition " $(c\tau+d)^{-k} \cdot f\left(\frac{a\tau+b}{c\tau+d}\right) = f(\tau)$ " mean?

On  $\mathbb{H}$  we have:  $\left( \begin{array}{ccc} \mathbb{Z}^2 * \mathbb{H} & \xrightarrow{\quad} & \mathbb{C} \times \mathbb{H} \xrightarrow{\quad} \mathbb{E} \\ & \searrow & \downarrow \\ & & \mathbb{H} \end{array} \right) \leftarrow SL_2(\mathbb{Z}) \text{ from left.}$

To find the action, consider  $\tau \in \mathbb{H}$ , and  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$ .

$$\begin{array}{ccc} \text{Then } \mathbb{E}_\tau = \mathbb{C}/(\mathbb{Z} + \mathbb{Z}\tau) = \mathbb{C}/(\mathbb{Z}(a\tau+b) + \mathbb{Z}(c\tau+d)) & (z, \tau) & \\ \downarrow \cong & & \downarrow \\ \mathbb{E}_{\gamma\tau} = \mathbb{C}/(\mathbb{Z} \frac{a\tau+b}{c\tau+d} + \mathbb{Z}) & & \left( \frac{1}{c\tau+d} \cdot z, \frac{a\tau+b}{c\tau+d} \right) \end{array}$$

Note:  $\left( \begin{pmatrix} n & \\ & m \end{pmatrix}, \tau \right) \mapsto n\tau + m = \begin{pmatrix} n & \\ & m \end{pmatrix}^\epsilon \cdot \begin{pmatrix} \tau \\ 1 \end{pmatrix} = \left( \gamma^{-1, \epsilon} \cdot \begin{pmatrix} n & \\ & m \end{pmatrix} \right)^\epsilon \cdot \underbrace{\gamma \cdot \begin{pmatrix} \tau \\ 1 \end{pmatrix}}_{= \begin{pmatrix} a\tau+b \\ c\tau+d \end{pmatrix}}$

One finds:  $\left( \begin{pmatrix} n & \\ & m \end{pmatrix}, \tau \right)$

$\gamma \cdot \downarrow$

$\left( \gamma^{-1, \epsilon} \cdot \begin{pmatrix} n & \\ & m \end{pmatrix}, \gamma\tau \right)$

This gives an inv.  $\mathcal{O}_{\mathbb{H}}$ -module  $\underline{\omega} = \mathcal{O}^* \Omega^1_{\mathbb{E}/\mathbb{H}}$ , with  $SL_2(\mathbb{Z})$ -action.

We have a global section:  $dz$ , and  $(\gamma \cdot)^* dz = d(\gamma \cdot)^* z = d\left(\frac{z}{c\tau+d}\right) = (c\tau+d)^{-1} \cdot dz$ .



§4. The "Shimura datum picture". (work with  $GL_2$ , not  $SL_2$ ).  
(action of  $GL_2(A^\infty)$ ).

For  $K \subset GL_2(A^\infty)$  open, compact subgroup, we define:

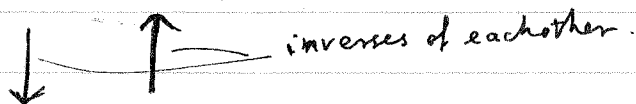
$$Y_K := GL_2(\mathbb{Q}) \backslash (\mathbb{H}^\pm \times GL_2(A^\infty) / K)$$

Example:  $Y_{GL_2(\hat{\mathbb{Z}})} = GL_2(\mathbb{Q}) \backslash (\mathbb{H}^\pm \times GL_2(A^\infty) / GL_2(\hat{\mathbb{Z}}))$   
 $= GL_2(\mathbb{Z}) \backslash \mathbb{H}^\pm = SL_2(\mathbb{Z}) \backslash \mathbb{H} = \{E/K\} / \cong$ .  
 $\uparrow$   $GL_2(\mathbb{Q})$  acts transitively on the set of  $\hat{\mathbb{Z}}$ -lattices in  $(A^\infty)^2$ .

We want to interpret the  $Y_K$  as moduli spaces. First analytically.

$GL_2(A)$  via  $\mathbb{R}$ -basis of  $\mathbb{C}$ , either  $(1, i)$ , or  $(1, -i)$ .

$\downarrow$   
 Isom  $\mathbb{R}$ -v.sp  $(\mathbb{C}, \mathbb{R}^2) \times GL_2(A^\infty)$



$\{ (V, L, \alpha, \beta, \varphi) \mid \begin{array}{l} V \text{ 1-dim. } \mathbb{C}\text{-v.sp.} \\ \text{all } L \subset V \text{ 2-dim. } \mathbb{Q}\text{-v.sp. s.t. } \mathbb{R} \cdot L = V \\ \alpha: \mathbb{C} \xrightarrow{\sim} V \\ \beta: \mathbb{Q}^2 \xrightarrow{\sim} L \\ \varphi: (A^\infty)^2 \xrightarrow{\sim} A^\infty \otimes_{\mathbb{Q}} L \end{array} \} / \cong$

$((id_{\mathbb{R}} \otimes \beta)^{-1} \circ \alpha, (id_{A^\infty} \otimes \beta)^{-1} \circ \varphi) \quad \varphi: (A^\infty)^2 \xrightarrow{\sim} A^\infty \otimes_{\mathbb{Q}} L$

$\uparrow$   
 $(V, L, \alpha, \beta, \varphi)$

$(g_\infty, g^\infty)$

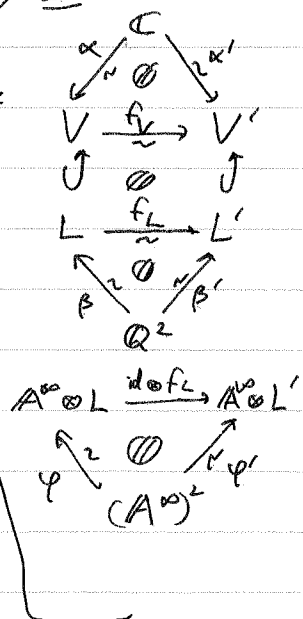
$\mathbb{R}^2 \xrightarrow{id_{\mathbb{R}} \otimes \beta} \mathbb{R} \otimes L = V \xleftarrow{\alpha} \mathbb{C}$

$(\mathbb{C}, g_\infty^{-1} \mathbb{Q}^2, id_{\mathbb{C}}, g_\infty^{-1}|_{\mathbb{Q}^2},$

$(id_{A^\infty} \otimes g_\infty^{-1}|_{\mathbb{Q}^2}) \circ g^\infty)$

$(A^\infty)^2 \xrightarrow{id_{A^\infty} \otimes \beta} A^\infty \otimes L \xleftarrow{\varphi} (A^\infty)^2$

notion of isom:

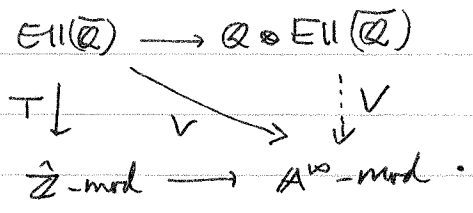


Consequence:  $Y_K = \left\{ (V, L, \varphi) \mid \begin{array}{l} V \text{ 1-dim. } \mathbb{C}\text{-v.sp.} \\ L \subset V \text{ 2-dim } \mathbb{Q}\text{-v.sp. s.t. } \mathbb{R}L = V \\ \varphi \in \text{Isom}(\mathbb{A}^{\infty})^2, \mathbb{A}^{\infty} \otimes L / K \end{array} \right\} / \cong$   
 "  $(V, L) + \text{level str. } \varphi$  "

What kind of category do the  $(V, L)$  give us??

Answer: complex elliptic curves "up to isogeny".  $\mathbb{Q} \otimes \text{Ell}(\mathbb{C})$   
 $\text{Ell}(\mathbb{C}) \xrightarrow{\text{equiv.}} (V, L_0), V \text{ 2-dim. } \mathbb{C}\text{-v.sp., } L_0 \subset V \text{ lattice}$   
 $\text{Hom}((V, \mathbb{Q} \otimes L_0), (V', \mathbb{Q} \otimes L'_0)) = \mathbb{Q} \otimes \text{Hom}((V, L_0), (V', L'_0))$   
 ← same objects as  $\text{Ell}(\mathbb{C})$ , tensor Hom's with  $\mathbb{Q}$ .

Now algebraically:  $Y_K(\overline{\mathbb{Q}}) = \left\{ (E, \varphi) \mid \begin{array}{l} E \in \text{Ob } \mathbb{Q} \otimes \text{Ell}(\overline{\mathbb{Q}}) \\ \varphi \in \text{Isom}(\mathbb{A}^{\infty})^2, V(E) \end{array} \right\} / \cong$



For  $g \in \text{GL}_2(\mathbb{A}^{\infty})$ :  $\varphi \mapsto \varphi \cdot g$  gives

$$Y_K(\overline{\mathbb{Q}}) \xrightarrow[\cdot g]{\sim} Y_{gKg}(\overline{\mathbb{Q}})$$

For  $K \subset \text{GL}_2(\hat{\mathbb{Z}})$ ;  $\varphi \in \text{Isom}(\mathbb{A}^{\infty})^2, V(E) / K$ :  $\varphi \hat{\mathbb{Z}}^2 \subset V(E)$  is a lattice, gives a genuine elliptic curve  $E'$ :  $T(E') = \varphi \hat{\mathbb{Z}}^2$  in  $V(E)$ .

Now modular forms in this perspective.  $\text{Isom}(\mathbb{C}, \mathbb{R}^2) \times \text{GL}_2(\mathbb{A}^{\infty}) \xrightarrow{\Gamma \mathbb{C}^2} \mathbb{C}^2$ .  $f$  is holomorphic,  
 For  $k \in \mathbb{Z}, K \subset \text{GL}_2(\mathbb{A}^{\infty})$ :  $S_k(K) = \left\{ f: \text{GL}_2(\mathbb{A}) \rightarrow \mathbb{C} \mid \forall \lambda \in \mathbb{C}^{\times}: f_0(\cdot \lambda) = \lambda^{k/2} f \right\}$

- $f$  is  $\text{GL}_2(\mathbb{Q})$ -inv. on the left
- $f$  is right  $K$ -invariant

say like this:  $f$  is of moderate growth, and zero in the cusps  
 $f$  extends over the cusps of  $Y_K$ , and vanishes there.

For  $n \in \mathbb{Z}_{\geq 1}$ , let  $\text{GL}_2(\hat{\mathbb{Z}}) \twoheadrightarrow \text{GL}_2(\hat{\mathbb{Z}}/n\hat{\mathbb{Z}})$ .

$$\begin{array}{ccc} \downarrow & \square & \downarrow \\ K_{1,n} & \longrightarrow & \left\{ \begin{pmatrix} * & \\ 0 & * \end{pmatrix} \right\} \end{array}$$

Then  $Y_{K_{1,n}} = Y(\Gamma_1(n))$ .

Hence, for  $k \in \mathbb{Z}$ :  $S_k(\Gamma_1(n)) = S_k(K_{1,n})$ , and we have:

$$S_k(\Gamma_1(n)) \hookrightarrow \varinjlim_K S_k(K) =: S_k = \{f: GL_2(\mathbb{A}) \rightarrow \mathbb{C} : \text{"list"}\}$$

$\hookrightarrow GL_2(\mathbb{A}^\infty)$

For  $K \subset GL_2(\mathbb{A}^\infty)$  open compact subgroup:  $S_k(K) = (S_k)^K$ ; the  $GL_2(\mathbb{A}^\infty)$ -action gives Hecke operators on the  $S_k(K)$  ( $\mathbb{C}[K_1 \backslash GL_2(\mathbb{A}^\infty) / K_2]$ ).

Suppose now that  $f$  is a normalized weight  $k$  newform of level  $n$ :

$a_1(f) = 1$ , and its system of eigenvalues does not occur in a level  $< n$ .

Then  $f$  generates an irreducible  $GL_2(\mathbb{A}^\infty)$ -submodule of  $S_k$ ,

$$V_f^\infty := \langle \{g \cdot f \mid g \in GL_2(\mathbb{A}^\infty)\} \rangle,$$

$$V_f^\infty = \otimes_p' V_{f,p}, \quad V_{f,p} \subseteq \varinjlim_{K \subset GL_2(\mathbb{Q}_p)} S_k(K \times K_{1,n}^p), \text{ the subrepr. of } GL_2(\mathbb{Q}_p) \text{ gen. by } f.$$

### § 5. Including the $\infty$ place

~~$V_f :=$  the  $(\mathfrak{gl}_2, \mathcal{O}(\mathbb{Z}))$ -module generated by  $V_f^\infty \subset \{f: GL_2(\mathbb{A}) \rightarrow \mathbb{C}\}$ ,  
i.e.  $\mathfrak{gl}_2(\mathbb{A}^\infty) \cdot \{f, s \cdot f\}$  where  $s = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ .~~

~~Then  $V_f = V_f^\infty \otimes V_{f,\infty}$ , and  $V_{f,\infty} =$  the  $(\mathfrak{gl}_2, \mathcal{O}(\mathbb{Z}))$ -module in  $\{f: GL_2(\mathbb{R}) \rightarrow \mathbb{C} \mid f \text{ smooth}\}$  generated by  $f$ .~~

~~Isom  $(\mathbb{C}, \mathbb{R}^2) \cong GL_{\mathbb{R}}(\mathbb{C})$ ,  $SO_2 = S^\perp = U_1 = \{t \in \mathbb{C} \mid |t|=1\}$ ,  $s = (z \mapsto \bar{z})$   
(from right)~~

~~A suitable inner automorphism of  $\mathfrak{gl}_2$  sends  $c, h, a_+, a_-$  to  $c'=c, h', a'_+, a'_-$ , s.t.  $\text{Lie}(U_1)_{\mathbb{C}} = \mathbb{C} \cdot h'$ .~~



GL<sub>2</sub> and modular forms (3). 2009/02/09.

Extra reference: S. Kudla, From modular forms to autom. reps., in a book "An introd. to the ~~Katz~~ Langlands program". But it only gives for numbers

I have a correction to make, in the part "§3, functions of lattices". (And I change the direction of the isom., so my notation is no longer that of Deligne.)

$$G = \text{Isom}_{\mathbb{R}\text{-v.sp.}}(\mathbb{C}, \mathbb{R}^2), \quad G \hookrightarrow \mathbb{C}^2: \varphi \mapsto (\varphi^{-1}e_1, \varphi^{-1}e_2)$$

$$\mathbb{P}^1_{\mathbb{R}} \cong G/G \cong \text{GL}_{\mathbb{R}}(\mathbb{C}) / \mathbb{C}^\times, \quad \text{quotient for } \mathbb{C}^\times: \quad G \xrightarrow{q} \mathbb{H}^\pm: \varphi \mapsto \bar{\varphi}^{-1}e_1 / \varphi^{-1}e_2.$$

V: idim.  $\mathbb{C}$ -v. sp.

Moduli-interpretation:  $G = \{ (V, L, \varphi, \alpha) \} / \cong$ ,  $L \subset V$   $\mathbb{Z}$ -lattice,  $\varphi: \mathbb{Z}^2 \xrightarrow{\sim} L$ ,  $\alpha: \mathbb{C} \xrightarrow{\sim} V$ .

$$\downarrow$$

$$\mathbb{H}^\pm = \{ (V, L, \varphi) \} / \cong.$$

Note: on  $\mathbb{H}^\pm$  we have the line bundle  $\omega$ ,  $GL_2(\mathbb{R})$ -equivariant, but it has no ~~invariant~~ invariant trivialisation (stabilisers act non-trivially).

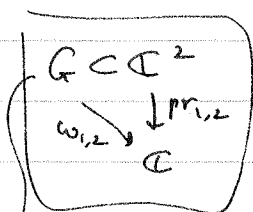
But, on  $G$ ,  $\alpha$  gives us a  $GL_2(\mathbb{R})$ -invariant trivialisation of  $\omega$ . It is this that makes it possible to describe modular forms as functions, and not as sections of a line bundle.

Concretely: let  $f \in S_k(\Gamma)$ ,  $\Gamma \subset SL_2(\mathbb{Z})$  congr. subgroup; gives  $\tilde{f}: G \rightarrow \mathbb{C}$ .

Let  $\varphi \in G$ , let  $w_1 := \varphi^{-1}e_1$ ,  $w_2 := \varphi^{-1}e_2$ ,  $\tau := w_1/w_2$ ,

$$L := \mathbb{Z}w_1 \oplus \mathbb{Z}w_2 \subset \mathbb{C}, \quad \mathbb{C}/L \xrightarrow{\sim} \mathbb{C}/(\mathbb{Z}\tau + \mathbb{Z})$$

$$\begin{array}{ccc} \tilde{f}(\varphi) & \uparrow & \mathbb{C} \\ \parallel & \uparrow \omega_2^{-1} & \xrightarrow{\tau} \mathbb{C} \end{array}$$



$$\int_{\mathbb{H}} f(w_1/w_2 \cdot w_2^{-k} \cdot dz) \otimes k \longleftarrow \int_{\mathbb{H}} f(\tau) (dz) \otimes k$$

$$\left\{ \begin{array}{l} f: G \rightarrow \mathbb{C} \mid \forall \gamma \in \Gamma, \forall \varphi \in G: f(\gamma\varphi) = \tilde{f}(\varphi) \\ \forall \lambda \in \mathbb{C}^\times, \forall \varphi \in G: \tilde{f}(\varphi\lambda) = \lambda^{+k} \cdot \tilde{f}(\varphi) \end{array} \right\} \quad \tilde{f} = (q^* f) \cdot \omega_2^{-k} \quad \tilde{f}$$

$$\uparrow \downarrow \quad \left\{ \begin{array}{l} f: \mathbb{H}^\pm \rightarrow \mathbb{C} \mid \forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \\ \forall \tau \in \mathbb{H}^\pm: f\left(\frac{a\tau+b}{c\tau+d}\right) = (c\tau+d)^k \cdot f(\tau) \end{array} \right\} \quad f_{\mathbb{H}} \quad \left( \tau \mapsto \tilde{f} \begin{pmatrix} \tau \mapsto e_2 \\ 1 \mapsto e_1 \end{pmatrix} \right)$$

We use  $i \in \mathbb{H}^+$  as base point and (hence)  $\varphi_0: \mathbb{C} \xrightarrow{\sim} \mathbb{R}^2$  with  $\varphi_0^{-1}e_1 = i$ , as base point in  $G$ . In particular,  $G\mathbb{L}_2(\mathbb{R}) \xrightarrow{\sim} G$ ,  $g \mapsto g \cdot \varphi_0$ .  $\varphi_0^{-1}e_2 = 1$

Then, for  $g \in G\mathbb{L}_2(\mathbb{R})$ , we have  $\omega_1(g \cdot \varphi_0) = a + b$ ,  $\omega_2(g \cdot \varphi_0) = c + d$  when:  $\tilde{g}^{it} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ .

Hence:  $\tilde{f}(g \cdot \varphi_0) = f\left(\frac{a+ib}{c+id}\right) \cdot (c+id)^{-k}$ .

And let me say it in yet another way how  $f \in S_k, k \in \mathbb{H}^0(\mathbb{Y}_k, \underline{\omega}^{\otimes k})$

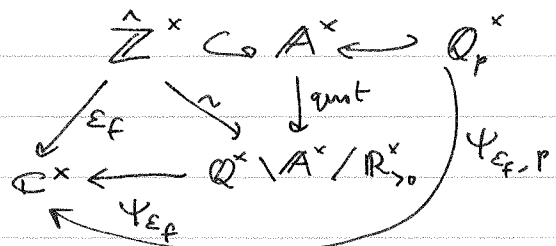
gives  $\tilde{f}: f(V, L, \alpha, \beta, \varphi) \in (V^\vee)^{\otimes k} \xrightarrow{\sim} \mathbb{C}^{\otimes k} = \mathbb{C}$   
 $\alpha: \mathbb{C} \xrightarrow{\sim} V \xrightarrow{(\alpha^\vee)^{\otimes k}} \tilde{f}(V, L, \alpha, \beta, \varphi)$

Clearly:  $\tilde{f}(V, L, \alpha \circ (\lambda \cdot), \beta, \varphi) = \lambda^k \cdot f(V, L, \alpha, \beta, \varphi)$ .  
 $\forall \lambda \in \mathbb{C}^\times$

Let us compute the action of the center  $A^\times$  on  $\mathbb{C} \cdot \tilde{f}$ ;  $\varepsilon_f: \hat{\mathbb{Z}}^\times \rightarrow \mathbb{C}^\times$ .

$\mathbb{R}^\times: \lambda \mapsto \lambda^k = |\lambda|^k \cdot \psi_{\varepsilon_f, \infty}$

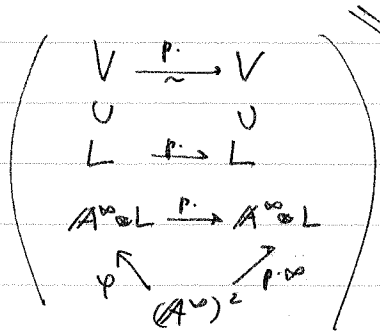
$\mathbb{Q}_p^\times: |\cdot|_p^k \cdot \psi_{\varepsilon_f, p}$



Hence  $A^\times$  acts as  $|\cdot| \cdot \psi_{\varepsilon_f}$ , and indeed  $\mathbb{Q}^\times$  acts trivially.

Note:  $\varepsilon_f(-1) = (-1)^k$ , hence  $\psi_{\varepsilon_f, \infty} = \psi\left(\frac{1}{|\cdot|}\right)^k$ .

Here's the proof.  $f(V, L, \varphi) \in (V^\vee)^{\otimes k}$ ,  $f(V, L, p \cdot \varphi) \in (V^\vee)^{\otimes k}$ ,  
 so  $(p \cdot)^{\otimes k}: (V^\vee)^{\otimes k} \rightarrow (V^\vee)^{\otimes k}$ ,  $f(V, L, p \cdot \varphi) \mapsto f(V, L, \varphi)$ ,  
 so:  $f(V, L, p \cdot \varphi) = \bar{p}^k \cdot f(V, L, \varphi)$



$\prod_{l \neq p} \varepsilon_{f, l}(p) \cdot f(V, L, p \cdot \varphi)$

so:  $f(V, L, p \cdot \varphi) = |p|_p^k \cdot \prod_{l \neq p} \varepsilon_{f, l}(p)^{-1} \cdot f(V, L, \varphi)$ .

Now comes a strange thing. I thought that  $\tilde{f}$  would be in  $A_{s,t}^0 (GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}))$  for suitable  $s$  and  $t$ .  
 But computing  $s$  &  $t$  gives  $(s,t) = (k - \frac{1}{2}, \frac{1}{2})$ : not integers.

Here's the computation. Recall Lie  $GL_2(\mathbb{R})$ :  $c, h, a_+, a_-$ ;  
 $C = \frac{1}{2}h^2 + a_+a_- + a_-a_+$ ,  $z_2 \xrightarrow{\chi_{HS} \sim} \mathbb{C}[x_1, x_2]^{S_2} \xrightarrow{f} f(s,t)$   
 $\begin{cases} C \mapsto x_1 + x_2 \\ C \mapsto \frac{1}{2}(x_1 - x_2)^2 - 1 \end{cases} \xrightarrow{\theta_{(s,t)}} \mathbb{C}$

Now  $\begin{cases} h \cdot \tilde{f} = k \cdot \tilde{f} \text{ and } c \cdot \tilde{f} = k \cdot \tilde{f}, \text{ as } \tilde{f} \circ (t) = k^k \cdot \tilde{f} \forall t \in \mathbb{C}^x \\ a_- \cdot \tilde{f} = 0 \text{ as } \tilde{f} \text{ is holom. and } a_- \text{ consists of anti-hol derivations.} \end{cases}$   
 (see Deligne, or compute it).

Then:  $c \cdot \tilde{f} = k \cdot \tilde{f}$ ,  $C \cdot \tilde{f} = (\frac{1}{2}h^2 - (a_+a_- + a_-a_+)) \tilde{f} =$   
 $= (\frac{1}{2}h^2 - h) \tilde{f} = \frac{1}{2}(k^2 - 2k) \cdot \tilde{f}$ .

So, for  $\tilde{f}$ :  $\begin{cases} s+t = k \\ \frac{1}{2}((s-t)^2 - 1) = \frac{1}{2}(k^2 - 2k) \end{cases}$  i.e.,  $\begin{cases} s+t = k \\ s-t = k-1 \end{cases} \begin{cases} s = k - \frac{1}{2} \\ t = \frac{1}{2} \end{cases}$ .

So, this means that we should consider  $\tilde{f} := \tilde{f} \cdot \|\det(\cdot)\|^{-1/2}$ .

Then, for  $\tilde{f}$ :  $\begin{cases} s = k-1 \\ t = 0 \end{cases}$ , so  $\tilde{f} \in A_{(k-1,0)}^0$ .

I'm glad to see this  $(k-1, 0)$ , as the HS  $\sim f$  is of type  $\{(k-1, 0), (0, k-1)\}$ ,  
 or also: the HT ~~weights~~ weights of the Gal. repr  $\sim f$  are  $k-1$  and  $0$ .

The  $\mathbb{C}^{\text{anti-in.}}$  repr.  $\sim f$ :  $\tilde{f}$  generates a  $(\mathfrak{gl}_2, \mathcal{O}(2))$ -module  $V_{f,\infty}$ , with  
 basis  $\{a_+^n \cdot \tilde{f} \mid n \geq 0\} \perp \{a_-^n \cdot \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cdot \tilde{f} \mid n \geq 0\}$

$V_f = V_{f,\infty} \otimes V_f^\infty$ .