Counting quickly the vectors with integer coordinates and with a given length

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with Jean-Marc Couveignes, Robin de Jong, Johan Bosman, Franz Merkl, Peter Bruin, Ila Varma Computational Aspects of Modular Forms and Galois Representations

> Edited by Bas Edixhoven and Jean-Marc Couveignes with contributions by Johan Borman, Jean-Marc Couveignes, Bas Edikhoven, Rohin de Jong, and Franz Merkl

ANNALS OF MATHEMATICS STUDIES

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Modular forms are tremendously important in various areas of mathematics, from number theory and algebraic geometry to combinatorics and lattices.

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This book gives an algorithm for computing coefficients of modular forms of level one in polynomial time. For example, Ramanujan's tau of a prime number p can be computed in time bounded by a fixed power of the logarithm of p...

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Back to mathematics: sums of squares

To illustrate the progress made in the book and Peter Bruin's PhD thesis, we consider the problem of computing quickly, for *d* and *n* in \mathbb{Z} :

$$r_d(n) := \#\{x \in \mathbb{Z}^d : x_1^2 + \cdots + x_d^2 = n\}.$$

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$$r_d(n) := \#\{x \in \mathbb{Z}^d : x_1^2 + \cdots + x_d^2 = n\}.$$

Geometric interpretation (Pythagoras): count the number of lattice points in \mathbb{Z}^d at a given distance \sqrt{n} from the origin.



$$egin{aligned} r_2(3) &= 0. \ r_2(5) &= 8: \ 5 &= (\pm 2)^2 + (\pm 1)^2 \ 5 &= (\pm 1)^2 + (\pm 2)^2 \end{aligned}$$



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Do *not* use the factorisation of *n* into primes, because we do not know how to do that fast enough.

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Dimension two: Diophantus



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Diophantus of Alexandria (\approx 3rd century):

$$(a^2+b^2)(c^2+d^2)=(ac-bd)^2+(ad+bc)^2.$$

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Dimension two: Fermat



Pierre de Fermat (lawyer, Toulouse, 17th century), for $n \ge 1$: $r_2(n) \ne 0$ if and only if every prime factor of *n* that is 3 modulo 4, occurs an even number of times in the factorisation of *n*.

Dimensions 2 and 3: Legendre, Gauss



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For n > 1 squarefree, 1 or 2 mod 4, $r_3(n) = 12 \cdot h(\mathbb{Z}[\sqrt{-n}])$.

Higher even dimensions: Jacobi



Carl Gustav Jacob Jacobi (1829) proved for $n \ge 1$:

$$r_2(n) = 4 \sum_{d|n} \chi(d)$$
, with $\chi(d) = \begin{cases} 0 \text{ if } d \text{ is even,} \\ 1 \text{ if } d = 4r + 1, \\ -1 \text{ if } d = 4r + 3, \end{cases}$

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and:

$$r_4(n) = 8 \sum_{2 \nmid d \mid n} d + 16 \sum_{2 \mid d \mid (n/2)} d.$$



It follows from work of Jacobi, Ferdinand Eisenstein and Henry Smith that:

$$\begin{split} r_6(n) &= 16 \sum_{d|n} \chi(n/d) d^2 - 4 \sum_{d|n} \chi(d) d^2, \\ r_8(n) &= 16 \sum_{d|n} d^3 - 32 \sum_{d|(n/2)} d^3 + 256 \sum_{d|(n/4)} d^3 \end{split}$$



For d = 10 Joseph Liouville (1865) found a formula in terms of the Gaussian integers d = a + bi with *a* and *b* in \mathbb{Z} :

$$r_{10}(n) = \frac{4}{5} \sum_{d|n} \chi(d) d^4 + \frac{64}{5} \sum_{d|n} \chi(n/d) d^4 + \frac{8}{5} \sum_{d \in \mathbb{Z}[i], |d|^2 = n} d^4.$$

James Whitbread Lee Glaisher, reinterpreted by Srinivasa Ramanujan in 1916, proved that:

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Note: unlike for $d \le 10$, this formula does *not* lead to computation of $r_{12}(n)$ in time polynomial in log *n*, if *n* is given with its factorisation into primes.

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$r_d(n)$ for all even d

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Positive (book and Peter Bruin's PhD thesis). For every even *d* one can compute $r_d(n)$ in time polynomial in log *n*, if $n \in \mathbb{N}$ is given with its factorisation into primes.

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Note: for n = pq with p and q distinct odd primes:

$$r_4(n) = 8(1 + p + q + n).$$

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Conclusion. From an algorithmic perspective this classical problem is now solved for *all* even *d*. The question for *formulas* has a negative answer, but for *computing* that negative answer does not matter and we now have a *positive* answer.

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It is more than time to explain what is going on behind all these formulas. Generating series:

$$heta_d := \sum_{x \in \mathbb{Z}^d} q^{x_1^2 + \dots + x_d^2} = \sum_{n \ge 0} r_d(n) q^n \quad ext{in } \mathbb{Z}[[q]].$$

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Let $\theta := \theta_1$ (Jacobi theta function at z = 0). Then:

$$\theta^d = \left(\sum_{x_1 \in \mathbb{Z}} q^{x_1^2}\right) \cdots \left(\sum_{x_d \in \mathbb{Z}} q^{x_d^2}\right) = \theta_d.$$

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Compute θ^d in $\mathbb{Z}[[q]]/(q^{n+1})$: gives $r_d(n)$ but takes time at least linear in *nd*.

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Key idea: $q \colon \mathbb{H} = \{z \in \mathbb{C} : \Im(z) > 0\} \to \mathbb{C}, \quad z \mapsto e^{2\pi i z}.$

Bas Edixhoven (Universiteit Leiden) Number theory, computer algebra, geometry

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Then $\theta_d \colon \mathbb{H} \to \mathbb{C}$, and for $z \in \mathbb{H}$: $\theta_d(z+1) = \theta_d(z)$, and Jacobi proved (Poisson summation formula):

$$\theta_d(-1/4z) = (2z/i)^{d/2}\theta_d(z).$$

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This implies: θ_d is in the \mathbb{C} -vector space $M_{d/2}(\Gamma_1(4))$ of modular forms of weight d/2 on the subgroup $\Gamma_1(4)$ of $SL_2(\mathbb{Z})$. Assume from now on that *d* is even. Then k = d/2 is in \mathbb{Z} .

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The $M_k(\Gamma_1(4))$ are finite dimensional.

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Complex analytic geometry

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The coefficients $a_n(f)$ of the modular forms $f = \sum_{n \ge 0} a_n(f)q^n$ are closely related to Hecke operators T_n coming from the $GL_2(\mathbb{Q})^+$ -action on \mathbb{H} .

Algebraic geometry

In fact, E^{k-2} is an algebraic variety, defined over \mathbb{Q} .

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To simplify, consider $M_{12}(SL_2(\mathbb{Z})) = \mathbb{C} \cdot E_{12} \oplus \mathbb{C} \cdot \Delta$, where

$$E_{12} = 1 + rac{65520}{691} \sum_{n \ge 1} \left(\sum_{d|n} d^{11}
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Deligne: for every integer m > 0 there is ρ_m : Aut(\mathbb{C}) \rightarrow GL₂($\mathbb{Z}/m\mathbb{Z}$), such that for every prime $p \not\mid m, \tau(p) = \text{trace}(\text{Frob}_p)$ in $\mathbb{Z}/m\mathbb{Z}$.

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- a polynomial $f_m = x^{m^2} + \cdots + a_1 x + a_0$ in $\mathbb{Z}[x]$,
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- where $\overline{\mathbb{Z}} \to \overline{\mathbb{F}}_{\rho}$ gives $(\mathbb{Z}/m\mathbb{Z})^2 \to \operatorname{Roots}(f_m, \mathbb{C}) \to \operatorname{Roots}(f_m, \overline{\mathbb{F}}_{\rho})$.

The *book* explains, in about 400 pages, that one can compute, for ℓ prime, such an f_{ℓ} in time polynomial in ℓ , and then $\tau(p)$ in time polynomial in log p. More generally: for $M_k(SL_2(\mathbb{Z}))$.

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Peter Bruin's PhD thesis: generalises the theory to $M_k(\Gamma_1(N))$.

The polynomial:

$$\begin{split} f &= x^{24} - 2x^{23} + 115x^{22} + 23x^{21} + 1909x^{20} + 22218x^{19} \\ &\quad + 9223x^{18} + 121141x^{17} + 1837654x^{16} - 800032x^{15} \\ &\quad + 9856374x^{14} + 52362168x^{13} - 32040725x^{12} \\ &\quad + 279370098x^{11} + 1464085056x^{10} + 1129229689x^9 \\ &\quad + 3299556862x^8 + 14586202192x^7 + 29414918270x^6 \\ &\quad + 45332850431x^5 - 6437110763x^4 - 111429920358x^3 \\ &\quad - 12449542097x^2 + 93960798341x - 31890957224 \end{split}$$

has Galois group $PGL_2(\mathbb{Z}/23\mathbb{Z})$, and (reduced) discriminant 23^{43} ; it comes from étale cohomology of degree 21 of a variety of complex dimension 21.

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Bounds for the required precision–in other words, bounds for the height of the rational numbers that describe the Galois representation to be computed–are obtained from Arakelov theory...

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The end

Thank you for your attention! Questions?





Nederlandse Organisatie voor Wetenschappelijk Onderzoek



With: Jean-Marc Couveignes (Toulouse), Robin de Jong, Franz Merkl (München), Johan Bosman, Peter Bruin, Ila Varma.